Research paper

Prenatal and postnatal depressive symptoms, infant white matter, and toddler behavioral problems

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ABSTRACT

Background: Maternal depression is prevalent during and following pregnancy and is related to adverse outcomes in offspring. Perinatal depression is associated with risk for difficulties in offspring; however, the mechanisms underlying this association are not clear. We examined whether maternal prenatal and postnatal depressive symptoms were associated with infant white matter organization and with behavioral problems in toddlerhood.

Methods: 37 mother–infant dyads (20 male; ages 5.95–7.66 months) participated in this study. We conducted diffusion MRI with infants during natural sleep. Mothers reported on their prenatal and postnatal depressive symptoms at six months postpartum. We calculated fractional anisotropy (FA), radial, axial, and mean diffusivity, and assessed offspring behavioral problems at age 18 months.

Results: Prenatal depressive symptoms were associated with FA of the corpus callosum; postnatal depressive symptoms were not associated with FA of limbic tracts or corpus callosum segmentations. Higher levels of prenatal depressive symptoms were associated with higher FA and lower radial diffusivity of the corpus callosum genu; FA of this region was positively associated with behavioral problems at age 18 months.

Limitations: This study had a small sample size; therefore, findings should be replicated. Further, we used retrospective reports of maternal prenatal depression, but validated them in this study.

Conclusions: Depressive symptoms during pregnancy may affect infant corpus callosum development and, in turn, offspring behaviors. These findings suggest that early maternal stress accelerates infant neurodevelopment in a manner that may increase risk for behavioral problems. Thus, efforts to reduce maternal prenatal depression should be a public health priority.

1. Introduction

As many as 20 percent of mothers experience clinically significant depression or depressive symptoms during pregnancy (Bennett et al., 2004; Gavin et al., 2005) and/or after childbirth (Sanger et al., 2015). These rates are even higher when including mothers with subclinical levels of depressive symptoms (Meaney, 2018). Thus, at least one in five infants is exposed to maternal depression in utero or in the year following birth (Gavin et al., 2005; O’Hara and Wisner, 2014). Maternal depressive symptoms have adverse consequences for both mothers (Gotlib, 1992; Moehler et al., 2006) and offspring (Goodman and Gotlib, 1999), including increased risk for children’s attentional (Zou et al., 2019), emotional (Nolvi et al., 2020), and behavioral problems (Cents et al., 2013; Hay et al., 2020; Sandman et al., 2015). The mechanisms underlying the intergenerational transmission of risk, however, are unclear (Gotlib et al., 2020). One possible mechanism involves alterations in brain structure in offspring in early life (Hay et al., 2020; Sandman et al., 2015; Zou et al., 2019). Indeed, investigators have reported findings consistent with the formulation that maternal depressive symptoms adversely affect brain structure in young children. Much of this research has been conducted with school-age and older children and adolescents. For example, investigators have reported greater cortical thinning in preschool-age (Lebel et al., 2016), adolescent (Foland-Ross et al., 2015; Peterson et al., 2009), and pre-adolescent (Sandman et al., 2015), offspring of depressed mothers. Further, Wen et al. (2017) reported that whereas prenatal depressive symptoms were associated with larger right amygdala volume, postnatal depressive symptoms were associated with higher fractional anisotropy (FA) of the right amygdala. Recently, Hay et al. (2020) found that prenatal depressive symptoms were associated with higher mean diffusivity (MD) of the cingulum and with higher MD and lower FA of the amygdala pathway in 4-year-old children and, further, that limbic-prefrontal connectivity mediated the association in boys between maternal prenatal depressive symptoms and externalizing problems.

Investigators have begun to examine neural characteristics of infants whose mothers experienced depressive symptoms in the perinatal
period. Rifkin-Grabin et al. (2013) reported that neonates of mothers with higher levels of prenatal depressive symptoms had lower FA and AD of the amygdala. Similarly, Posner et al. (2016) reported that greater prenatal depressive symptoms were related in neonates to decreased structural connectivity between the right amygdala and the right ventral prefrontal cortex. Further, Dean et al. (2018) found that greater maternal prenatal depressive and anxious symptoms were associated with higher diffusivity in right frontal regions in one-month-old infants and that infant sex moderated the association between maternal symptoms and diffusion properties of the splenium (Dean et al., 2018). Finally, Nolvi et al. (2020) reported that maternal postnatal depressive symptoms predicted offspring emotional reactivity at age 6 months, but only in newborns with higher mean FA across the corpus callosum. Thus, while a small number of studies indicate that maternal prenatal depressive symptoms are associated with infant white matter organization, there is variability in the specific tracts that are implicated and in the direction of these associations. Further, moderating variables, such as offspring sex, may explain significant variance in the effects of maternal depressive symptoms on infant white matter organization (Dean et al., 2018; Hay et al., 2020; Lebel et al., 2016; Wen et al., 2017).

For example, given the rapid development of the infant brain during the perinatal period (Dubois et al., 2014), maternal depressive symptoms during the prenatal period predicted offspring emotional reactivity at age 6 months in the right amygdala, which is crucial for stress regulation (Dubois et al., 2014). Given that previous studies have implicated limbic regions, frontal white matter, and diffusion properties of the corpus callosum in the association between maternal depressive symptoms and infant white matter organization, in addition to alterations in these brain regions in youth with a family history of Major Depressive Disorder (Huang et al., 2018), we examined the longer-term correlates of brain organization by assessing behavioral problems at 18 months.

Identification of significant associations between maternal prenatal and postnatal depressive symptoms and brain structure in youth (El Marroun et al., 2018) to assess the validity of these reports. Mothers also reported an outlying score greater than 3 SDs above the mean on the Center for Epidemiologic Studies Depression Scale (see below) and, therefore, was excluded from analyses. Thus, the sample in the current analyses included 37 mothers (ages 21.26–44.44 years; M = 32.53, SD = 4.81; 18 recruited prenatally and 19 postnatally) and their infants (ages 5.95–7.66 months; M = 6.73, SD = 0.42). In an exploratory analysis, we also assessed whether infant FA was associated with behavioral functioning one year later (N = 34; ages 17.52–21.15 months; M = 18.27, SD = 0.63; 1 lost to follow-up; 2 with missing data).

Mothers were screened for eligibility through a telephone interview, assessing whether they were currently pregnant or had an infant between five and eight months of age, were ≥18 years old, were fluent in English, and had no immediate plans to leave the geographic area. Exclusion criteria included maternal bipolar disorder, maternal psychosis, maternal dyslexia, or maternal visual processing issues, severe complications during birth, infant preterm birth (<36 weeks gestational age), infant head trauma or medical conditions (e.g., congenital, genetic, or neurological disorders), and contraindication for infant MRI. Eligible mothers reported on both their prenatal and postnatal depressive symptoms during the infants’ scanning session at six months postpartum. Infants were scanned during natural sleep. One year later, mothers were e-mailed a link for a survey in which they reported on their toddler’s behavioral functioning at 18 months postpartum. The Stanford University Institutional Review Board approved the research protocol; mothers provided informed written consent for themselves and their infant and were compensated for their time.

2.2. Measures

**Maternal and infant characteristics.** Mothers reported on their education level (ranging from 1: no schooling completed to 9: graduate degree), their annual household income (binned from 1: $0–$50,000 to 7: $150,000, Latlnx ethnicity (0: not Latlnx; 1: Latlnx), race (0: non-white; 1: white), and antidepressant use in pregnancy (0: no antidepressant use in pregnancy; 1: antidepressant use in pregnancy). Infant gestational age at birth was calculated using the infants’ due date and date of birth.

**Maternal Depressive Symptoms.** Mothers provided retrospective reports of depressive symptoms experienced across their pregnancy using the Edinburgh Postnatal Depression Scale (EPDS; Cox et al., 1987). Specifically, we modified the prompt to: ‘please answer these questions in relation to how you felt DURING your pregnancy’. The EPDS consists of 10 items assessing symptoms of depression, measured on a 4-point scale, yielding total scores ranging from 0 to 30, with a clinical cutoff score of 15 (α=0.86). A subsample of mothers who were recruited prenatally completed the EPDS during pregnancy and at six months postpartum (reporting retrospectively on their depressive symptoms during pregnancy) to assess the validity of these reports. Mothers also completed the Center for Epidemiologic Studies Depression Scale (CES-D; Radloff, 1977) during the infants’ scan session, rating their depressive symptoms over the past two weeks. The CES-D consists of 20 items that assess depressive symptoms, each measured on a 4-point scale, yielding total scores from 0 to 60, with a clinical cutoff score of 16 (α=0.90).

**Behavioral Problems in Toddlerhood.** One year after their infant’s scanning session, mothers completed the Early Childhood Screening Assessment (ECSA; Gleason et al., 2010) through an online survey. The ECSA consists of 36 items assessing infant behavioral problems, measured on a 3-point scale, yielding total scores ranging from 0 to 72, with a clinical cutoff score of 18 (α=0.80).
2.3. Imaging protocol

Infants were scanned between five and eight months of age during natural sleep using a 3T MRI scanner (Discovery MR750 scanner, General Electric Healthcare, Milwaukee, WI, USA) with the 32-channel NovaMedical head coil (Wilmington, MA) (see Camacho et al., 2019; Dennis et al., 2019; and King et al., 2020 for details regarding the scanning procedure).

2.4. Magnetic resonance imaging data acquisition

Diffusion data were acquired with a multi-shell diffusion scheme using b-values of 700 s/mm$^2$ (30 vol) and 2000s/mm$^2$ (64 vol), including 11 vol with no diffusion weighting (2.0 mm x 2.0 mm x 2.0 mm voxel, 16 axial slices, TR = 3400 ms, TE = 80 ms, flip angle = 77°). Infants were swaddled and fed prior to scanning and were immobilized using sandbags and a MedVac bag (CFI Medical Solutions). Scan noise was reduced using earplugs and headphones. The multiband DWI protocol lasted approximately six minutes and took place at the end of the scanning session. 106 infants attempted one scanning sequence and 66 infants attempted the DWI scan. Of the 66 infants who attempted the scan, 12 had a different DWI protocol, 5 woke up prior to the conclusion of the sequence, 2 infants’ data did not save, 7 were excluded due to motion (more than 5 vol with >2 mm shifts or any volumes with >3 mm shift), and 2 were removed due to image artifacts (e.g., striping or halo effects). Because infants were scanned at two different sites (31 scanned at the Richard M. Lucas Center for Imaging), we included scanner as a covariate in analyses; importantly, the MRI magnet and scanner regularly goes through preventative maintenance with GE Healthcare field service engineers to assess system performance and stability in a variety of tests, and each scanner passed all of these tests. Each system was running the same major release of the scanner software (DV26.0 in GE parlance) and was using the same model NovaMedical 32-channel whole-brain receive array for data acquisition.

2.5. Tractography

The preprocessing pipeline has been described by Dennis et al. (2019). In sum, we quality-checked scans and masked volumes using FSL BET and calculated tensor maps using FSL dtifit. Prior to conducting deterministic tractography, diffusion scans were unwarped and eddy-corrected using FSL Eddy/TopUp (http://fsl.fmrib.ox.ac.uk/fsl/fslwiki). DWI data were reconstructed using DSI Studio (http://dsi-studio.labsolver.org/). We implemented generalized q-sampling imaging (Yeh et al., 2010) with a diffusion sampling length ratio of 1.5. Further, we calculated restricted diffusion with restricted diffusion imaging (Yeh et al., 2017) and completed tractography using a deterministic fiber tracking algorithm (Yeh et al., 2013). We placed 200,000 seeds across the brain using an angular threshold of 75°. We selected a large number of seeds and a high angular threshold to improve the tracking in smaller regions of interest (ROIs). The step size was randomly selected from 0.1 voxel to 3 voxels. The anisotropy threshold (quantitative index) was automatically determined using 0.6* (Otsu’s threshold). Fiber trajectories were smoothed by averaging the propagation direction with a percentage of the previous direction, which was randomly selected from 0% to 95%. We discarded tracts from analyses that were shorter than 20 mm or longer than 250 mm (Dennis et al., 2019). We visually inspected fiber renderings for each tract for each infant prior to statistical analyses to ensure that each tract conformed to anatomical norms. With these steps, we were able to identify all of the tracts of interest – including limbic tracts (left and right cingulum, left and right cingulohippocampus, fornix, and left and right uncinate fasciculus) and the corpus callosum segmentations (genu, body, and splenium) – in all infants (Fig. 1). We identified tracts of interest using TractStat (Corbin et al., 2017). We rigidly aligned the UNC Early Brain Development Study (https://www.nitrc.org/projects/uncebds_neodti/) template to the Type II JHU-Eve atlas (Zhang et al., 2010). Infants’ tracts were clustered using ROIs defined on the JHU atlas. We used ANTs to apply rigid, affine, and SyN non-linear registration to register each infant’s FA map to the infant template (Avants et al., 2011). We removed false-positive and spurious fibers using fiber orientation and neighborhood restraints, respectively. Using Dipy’s Minimum Average Direct Flip, fibers that had fewer than 20 neighbors within distance epsilon (3 mm) were excluded. Streamlines were randomly reduced to 5000 to decrease the computational load. We performed careful visual inspection of all tracts that were used to create the population bundle templates for white matter pathways. Diffusion metrics were calculated by averaging FA, radial (RD), axial (AD), or mean diffusivity (MD) within the extracted tract bundles (Dennis et al., 2019).

2.6. Statistical analyses

All analyses were conducted in R (R Core Team, 2020) with statistical significance set at $p<.05$ (two-tailed). All continuous variables were z-scored.

Preliminary analyses and identification of covariates. We conducted group comparisons to determine whether mothers or infants in the current sample (completed DWI) differed from mothers or infants who were not included (no DWI) with respect to demographic and clinical variables. Next, we assessed the test-retest reliability of the EPDS using data from the larger sample of mothers who were recruited.
prenatally and reported on their prenatal depressive symptoms during pregnancy and six months postpartum (n = 55).

We considered the following covariates: maternal education, annual income, infant gestational age at birth, infant sex, and infant age at scan. We tested each covariate separately to determine whether it explained variance in FA of the limbic tracts or corpus callosum segmentations. We included only those covariates that improved the model fit for either set of tracts; however, we covaried for the dummy-coded “scanner” variable in both multivariate regressions regardless of its statistical significance.

**Primary analyses.** We conducted two multivariate linear regression analyses using the “Manova” function from the “car” package to test the associations of maternal prenatal and postnatal depression scores with infant FA of limbic tracts (left and right cingulum, left and right cingulum hippocampus, fornix, and left and right uncinate fasciculus) and corpus callosum segmentations ( genu, body, and splenium), respectively. Using multivariate regression allowed us to reduce the number of tests conducted, thereby decreasing the chance of a false positive.

In the presence of a significant omnibus effect in the multivariate regression, we conducted univariate follow-up analyses to determine which tracts were related to prenatal or postnatal depression scores. If FA of any tracts was significantly associated with maternal depression scores, we conducted exploratory analyses to probe whether other diffusion metrics (RD, AD, or MD) were also associated with depression scores and whether FA of the respective tract was associated with behavioral problems during toddlerhood. Finally, we repeated the main analyses excluding mother-infant dyads in which mothers took antidepressants during pregnancy (n = 5).

### 3. Results

#### 3.1. Participant characteristics

Demographic and clinical characteristics of infants and their mothers are presented in Table 1. On average, mothers did not have high levels of depressive symptoms. Scores on the EPDS and CES-D were positively correlated (r(35) = 0.49, p = .002, 95% CI[0.21, 0.76]).

Mothers whose infants provided usable DWI scans did not differ from mothers whose infants did not in age (t(152) = 1.49, p = .140), education (t(152) = 0.11, p = .914), annual income (t(151) = −0.19, p = .851), Latinx (t(151) = −0.36, p = .717), race (t(152) = −1.50, p = .136), prenatal (t(142) = 1.50, p = .136), or postnatal (t(152) = 1.00, p = .321) depressive symptoms. Further, infants who completed the DWI protocol did not differ from infants who did not complete the DWI protocol in gestational age at birth (t(152) = −0.22, p = .829), sex (t(152) = −0.56, p = .574), Latinx (t(150) = −0.30, p = .764), race (t(152) = −1.56, p = .121), or behavioral problems at age 18 months (t(145) = 1.78, p = .077). EPDS scores obtained during pregnancy were positively correlated with retrospectively reported EPDS scores about pregnancy depression obtained at 6 months postpartum (r(53) = 0.68, p < .001, 95% CI[0.51, 0.80]) in the sample of women who were recruited prenatally.

Gestational age at birth significantly improved model fit for both the limbic tracts [F(7,29) = 3.35, p = .010] and corpus callosum segmentations [F(3,33) = 3.51, p = .026] and, therefore, were controlled for in subsequent analyses. However, infant sex [F(7,29) = 0.76, p = .623], infant age at scan [F(7,29) = 1.18, p = .342], maternal education [F(7,29) = 0.70, p = .671], and annual income [F(7,29) = 0.34, p = .931] did not improve fit for the model in which FA of limbic tracts were entered jointly as dependent variables. Similarly, infant sex [F(3,33) = 0.29, p = .831], infant age at scan [F(3,33) = 0.71, p = .554], maternal education [F(3,33) = 0.33, p = .803], and annual income [F(3,33) = 0.59, p = .624] did not improve the model in which FA of corpus callosum segmentations were the dependent variables and, therefore, were not included as covariates.

### 3.2. Primary analyses

As indicated in Table 2, neither prenatal nor postnatal depressive symptoms were associated with FA of limbic tracts. Further, postnatal depressive symptoms were not associated with FA of corpus callosum segmentations. In contrast, prenatal depressive symptoms were significantly positively associated with FA of corpus callosum segmentations. Follow-up analyses indicated that infants whose mothers reported higher prenatal depressive symptoms had higher FA in the corpus callosum genu (β = 0.47, SE=0.19, t(32)=2.47, p = .019, 95% CI[0.08, 0.85], ΔR²(12.10%) (Fig. 2A).

Given the significant association between maternal prenatal depressive symptoms and FA of the corpus callosum genu, we computed bivariate Spearman correlations assessing the association between EPDS...
scores and RD, AD, and MD of this tract. These analyses yielded a significant negative correlation between EPDS scores and RD of the corpus callosum genu (Fig. 2B), but not between EPDS scores and AD ($r(35) = -0.08$, $p = .646$, 95% CI $[-0.44, 0.28]$) or MD ($r(35) = -0.30$, $p = .068$, 95% CI $[-0.61, 0.02]$).

Finally, we examined whether FA of the corpus callosum genu was associated with mothers’ reports of their toddlers’ behavioral problems. We found that 6-month-old infants with higher FA of the corpus callosum genu had higher ECSA scores in toddlerhood (Fig. 3). These results held when we excluded mothers who took antidepressants in pregnancy (see Supplement Table S1).

4. Discussion

The present study was conducted to examine the relation between maternal perinatal depressive symptoms and 6-month-old infant white matter organization. In addition, we explored associations of white matter organization with behavioral problems assessed one year later when offspring were age 18 months. Maternal perinatal depressive symptoms were associated with higher fractional anisotropy (FA) of infant corpus callosum segmentations, even after adjusting for postnatal depressive symptoms. Specifically, higher maternal prenatal depressive symptoms were associated with higher FA and lower radial diffusivity (RD) of the corpus callosum genu segment, an interhemispheric white matter tract that connects the frontal lobes, but not with axial (AD) or mean diffusivity (MD). We also found that higher FA of the corpus callosum genu at age six months was associated with more behavioral problems in these offspring at age 18 months.

FA typically increases with age (Dubois et al., 2014), and importantly, the corpus callosum genu is one of the fastest developing white matter pathways (Uda et al., 2015). Lebel et al. (2008) reported that FA of this region reaches peak development at earlier ages compared to association fibers. In addition, a recent study of a cross-sectional cohort of participants ranging from 0.6–18.8 years of age found that the corpus callosum genu matured earlier than did all other tracts (Lynch et al., 2020). Researchers have documented that the majority of myelination occurs in the first year of life (Dubois et al., 2014). In fact, researchers have shown that myelination in the corpus callosum genu is “mature” by six months of age and that the myelin water fraction increases in this region between six and eight months of age (Dubois et al., 2014). Our findings suggest that maternal prenatal depressive symptoms are associated with lower diffusivity of the corpus callosum genu in infants during this critical period. While we cannot make strong claims given our diffusion model that the underlying neurobiological substrate is myelin, investigators have demonstrated that RD is sensitive to myelin loss (Song et al., 2002).

The observed positive association between prenatal depressive symptoms and FA, coupled with the negative association with RD, suggests that infants of mothers who have higher depressive symptoms during pregnancy have lower diffusivity. These findings support previous results reported by Lebel et al. (2016) and Wen et al. (2017), suggesting that maternal perinatal depressive symptoms are associated with lower diffusivity in offspring. Lower diffusivity may be indexed by accelerated myelination, increased fiber density/axonal packing, or more uniform fiber orientation. Taken together, these findings support the stress acceleration hypothesis (Callaghan and Tottenham, 2016), in which maternal prenatal depressive symptoms serve as an early stressor that promotes accelerated fetal brain development.

While several investigators have reported an association between maternal depressive symptoms and frontal white matter organization, many of these findings have reported higher diffusivity in this region (Dean et al., 2018; Posner et al., 2016; Zou et al., 2019). Inconsistencies across studies are likely due to differences in the age of the participants.
and when maternal depressive symptoms were measured. Longitudinal work is needed to assess whether the effects of maternal depressive symptoms on brain structure persists across infancy and childhood in the same sample, especially given the dynamic nature of white matter properties.

Researchers have shown that exposure to maternal prenatal depressive symptoms are associated with increased risk for depression in offspring (Pearson et al., 2013; Plant et al., 2015); however, the mechanisms underlying this association are not clear (Gotlib et al., 2020).

Interestingly, researchers have provided evidence that brain structure in children mediates associations between maternal prenatal depressive symptoms and subsequent behavioral problems in offspring (Hay et al., 2020; Sandman et al., 2015; Zou et al., 2019). In the present study we provide evidence that diffusivity of the corpus callosum genu in infancy, the tract associated with maternal prenatal depressive symptoms, predicted behavioral problems in toddlerhood. Thus, white matter of the corpus callosum genu may be one mechanism by which prenatal maternal depressive symptoms are associated with heightened risk for psychopathology in offspring.

4.1. Limitations

This study was the first to assess the relation between maternal perinatal depressive symptoms and white matter organization in six-month-old infants. We should note four limitations of this study. First, we had a relatively small sample size, and it is possible that with a larger sample we would have seen associations of maternal depressive symptoms in other tracts. As a related point, given our sample size, we did not have sufficient statistical power to conduct a mediation analysis assessing whether variation in FA of the corpus callosum genu at age 6 months explained variance in the association between maternal prenatal depressive symptoms and toddler behavioral problems (Fritz and MacKinnon, 2007). Second, we assessed prenatal depressive symptoms retrospectively, which can be subject to bias, particularly under-reporting (Newport et al., 2008). We should note, however, that we documented a strong positive correlation between these retrospective reports and levels of depressive symptoms that were reported in pregnancy, indicating that retrospective reports were reliable with earlier contemporaneous reports. Third, we assessed prenatal and postnatal depressive symptoms and infant white matter organization concurrently and, therefore, cannot make strong claims regarding causal effects. Finally, the majority of mothers in our sample had relatively high levels of education and socioeconomic status. Therefore, our findings should be replicated in lower-income and higher-risk samples.

5. Conclusion

Early identification of depressive symptoms during pregnancy is important for the well-being of the mother and the neuroanatomical development of the child. Researchers have shown that changes in brain structure mediate the relation between maternal depressive symptoms and subsequent behavioral and attention problems in older offspring (Hay et al., 2020; Sandman et al., 2015; Zou et al., 2019); our findings support the formulation that white matter organization in infancy is also related to behavioral problems in toddlerhood. Future work should assess whether treating depressive symptoms during pregnancy improves infants’ outcomes, including white matter organization and subsequent behavioral outcomes.

Author statement contributors

All authors contributed to the conceptualization and study design. Ms. Borchers carried out the initial analyses, drafted the initial manuscript, and reviewed and revised the manuscript.

Dr. Dennis drafted part of the initial manuscript and reviewed and revised the manuscript.

Ms. King carried out part of the initial analyses and reviewed and revised the manuscript.

Dr. Humphreys supervised data collection and reviewed and revised the manuscript.

Dr. Gotlib drafted part of the initial manuscript, reviewed and revised the manuscript, and supervised data collection

All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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Declaration of Competing Interest

The authors have no conflicts of interest relevant to this article to disclose.

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Supplementary materials


References


