## Comment

## Global prevalence of institutional care for children: a call for change

Institutionalisation is the most common societal intervention for orphaned, abandoned, or maltreated children throughout the world. Nevertheless, this form of care has been documented to be associated with negative effects on children's development including cognitive skills, attentional processes, physical growth, mental health, and socioemotional development.<sup>1,2</sup> Effects of institutional rearing seem to be especially harmful for children in the earliest years of life, including alterations in brain structure and function, as well as poorer adaptive functioning. Removing children from institutions and placing them in families leads to improvements, but gains that children make after removal from institutions and placement in families vary on the basis of characteristics of the sample, length of exposure to institutional rearing, and quality of care provided within institutions.<sup>1,2</sup>

Many of the harmful effects of institutional rearing seem to be long lasting.<sup>3</sup> For example, two longitudinal studies of children who were abandoned at birth and then placed in institutions in Romania have documented deleterious effects in cognitive, socioemotional, and mental health domains that continued from early childhood into adolescence for those placed in Romanian foster homes<sup>4</sup> and into adulthood for those placed in British adoptive families,5 especially if exposure to institutional care was long lasting. For children who are placed in institutional care after the first 6 months of life, inattention or overactivity, indiscriminate social behaviour, compromised intelligence quotient and executive function, and psychopathology are all persistent and impairing.5.6 These same studies have also documented that removing young children from institutions and placing them in families leads to substantial improvements in many domains of development.

With this well established background of risk, Chris Desmond and colleagues<sup>7</sup> did an extensive review of official and unofficial sources of data from 136 countries between 2001 and 2018 and reported global, regional, and national estimates of the number of children in institutional care in 2015 for 191 countries in *The Lancet Child & Adolescent Health*. The authors deserve

great credit for their painstaking, multisource, and multimethod approach, generating 98 sets of estimates for each dataset with possible combinations of imputation methods for countries with different available data points. Noting that global estimates were highly sensitive to the detection methods used, Desmond and colleagues found that global prevalence ranged from 3.18 million to 9.42 million children, with a median estimate of 5.37 million. Bearing in mind the authors' cautions about the uncertainty of estimates, these estimates are two to three times higher than the most recent estimate of UNICEF from 2012,<sup>8</sup> and they underscore the high prevalence of a form of care that involves challenges to child well being and the violation of children's right to be raised in a family.

We applaud the efforts of Desmond and colleagues to obtain an account of the prevalence of institutionalised children, but the daunting challenges that these researchers faced cannot be minimised. Many countries have incomplete or absent data and poorly developed monitoring mechanisms. Another challenge is that there is no agreed upon definition of what is meant by an institution.<sup>9</sup> The absence of consistent approaches to determining what is and is not institutional care hampers progress and renders comparisons of one set of data to another questionable. As Desmond and colleagues point out, using different definitions affects the ultimate count of the number of children in these settings. These limitations raise an obvious question: why is there no official international authority overseeing this vulnerable group? The deleterious health effects and economic costs of this form of care would seem to demand that.

The findings of Desmond and colleagues also underscore that institutional care is well entrenched in many settings. One of their most surprising findings was that higher-income countries reported the highest prevalence of institutional care, and low-income countries the lowest prevalence. The sheer magnitude of the problem has led some to suggest that we should accept the fact that eliminating institutions will not occur in the foreseeable future, and we should work instead on reforming the care provided within



Lancet Child Adolesc Health 2020 Published Online March 6, 2020 https://doi.org/10.1016/ 52352-4642(20)30055-9 See Online/Articles https://doi.org/10.1016/ 52352-4642(20)30022-5



institutions.<sup>10</sup> For example, efforts to train staff and reduce the numbers of caregivers who are involved in children's care have been shown to be effective enhancements.<sup>10</sup> However, these efforts might serve to prolong support for and perpetuate a form of care that has inherent insufficiencies for meeting children's needs. As a result, some have called for children to be cared for in institutions only when they require treatment that cannot be provided in a family setting.<sup>11</sup>

Some might use the magnitude of the challenge documented by Desmond and colleagues to argue for the slower, more pragmatic approach to improving the lives of children in institutional settings. However, the urgency for finding family-based placements for these children cannot be overstated. Great improvements for the lives of these estimated 5 million children await if we can provide such placement alternatives.

CHZ reports grants from the US National Institute of Mental Health, the Lumos Foundation, the Substance Abuse & Mental Health Services Administration, and the Irving Harris Foundation. KLH reports grants from the National Institute of Mental Health, Jacobs Foundation, Caplan Foundation, Vanderbilt Kennedy Center, Peabody College (Vanderbilt University), and Vanderbilt Institute for Clinical and Translational Research, outside the submitted work.

## \*Charles H Zeanah, Kathryn L Humphreys czeanah@tulane.edu

Department of Psychiatry and Behavioral Sciences, Tulane University School of Medicine, New Orleans, LA 70112, USA (CHZ, KLH) and Department of Psychology and Human Development, Vanderbilt University, Nashville, TN, USA (KLH)

- 1 van IJzendoorn MH, Bakermans-Kranenburg MJ, Duschinsky R, et al. Institutionalisation and deinstitutionalisation of children 1: a systematic and integrative review of evidence regarding effects on development. Lancet Psychiatry 2020; (in press).
- Goldman PS, Bakermans-Kranenburg MJ, Bradford B, et al. The institutionalisation and deinstitutionalisation of children 2: policy and practice recommendations for global, national, and local actors. Lancet Child Adolesc Health 2020; (in press).
- 3 Zeanah CH, Humphreys KL, Fox NA, Nelson CA. Alternatives for abandoned children: insights from the Bucharest Early Intervention Project. Curr Opin Psychol 2017; 15: 182–88.
- 4 Nelson CA, Fox NA, Zeanah CH. Romania's abandoned children: deprivation, brain development, and the struggle for recovery. Cambridge, MA: Harvard University Press, 2014.
- Sonuga-Barke EJS, Kennedy M, Kumsta R, et al. Child-to-adult neurodevelopmental and mental health trajectories after early life deprivation: the young adult follow-up of the longitudinal English and Romanian Adoptees study. *Lancet* 2017; **389**: 1539–48.
- Humphreys KL, Gleason MM, Drury SS, et al. Effects of institutional rearing and foster care on psychopathology at age 12 years in Romania: follow-up of an open, randomised controlled trial. *Lancet Psychiatry* 2015; **2:** 625–34.
- <sup>7</sup> Desmond C, Watt K, Saha A, Huang J, Lu C. Prevalence and number of children living in institutional care: global, regional, and country estimates. *Lancet Child Adolesc Health* 2020; published online March 6. https://doi.org/10.1016/S2352-4642(20)30022-5.
- Petrowski N, Cappa C, Gross P. Estimating the number of children in formal alternative care: challenges and results. *Child Abus Negl* 2017; 70: 388–98.
- 9 NGO Working Group on Children without Parental Care. Identifying basic characteristics of formal alternative care settings for children: a discussion paper. Geneva: NGO Working Group on Children without Parental Care, 2013.
- 10 McCall RB. The consequences of early institutionalization: can institutions be improved?—should they? Child Adolesc Ment Health 2013; 18: 193–201.
- 11 Dozier M, Kaufman J, Kobak R, et al. Consensus statement on group care for children and adolescents: a statement of policy of the american orthopsychiatric association. Am J Orthopsychiatry 2014; 84: 219–25.